

# Experience of EW and BSM physics at HERA and lessons for EIC

Elisabetta Gallo (DESY and UHH)



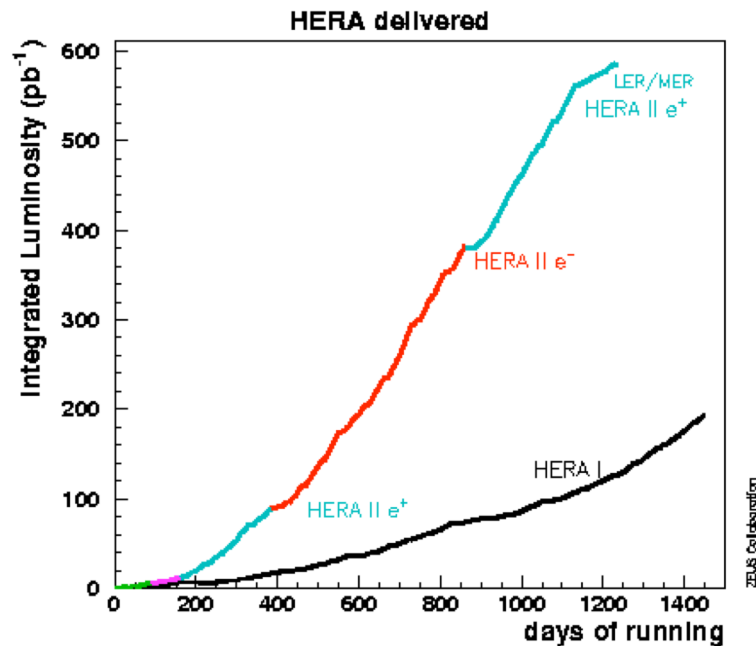
**CLUSTER OF EXCELLENCE**  
**QUANTUM UNIVERSE**

(A personal recollection and selection of topics)

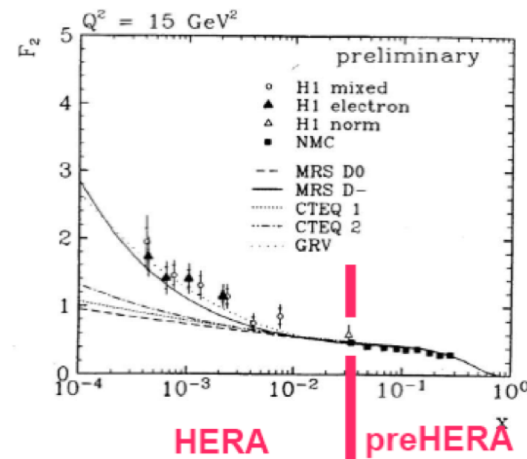
## Electroweak and BSM physics at the EIC, 6/5/2020

Elisabetta Gallo (DESY)

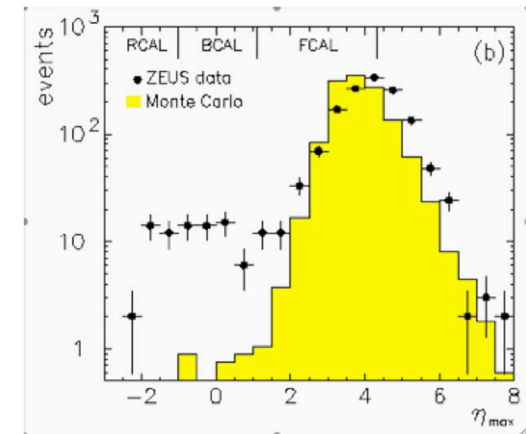
# HERA (1992-2007)



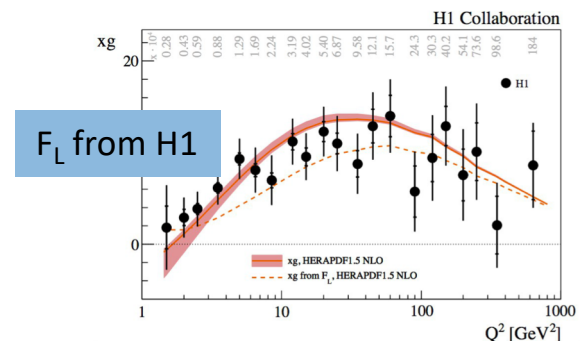
First  $F_2$  presented by H1 at Durham 1993



Diffractive events  
discovered by ZEUS, DESY  
seminar 1993



- HERA experiments were built for high  $Q^2$  physics, but adapted very well to lower  $Q^2$  low  $x$
- With HERA II a stronger electroweak program could be started and searches boosted
- At the end of HERA II we went back to low- $x$  physics with  $F_L$



# Increasing the luminosity (i.e. HERA II)

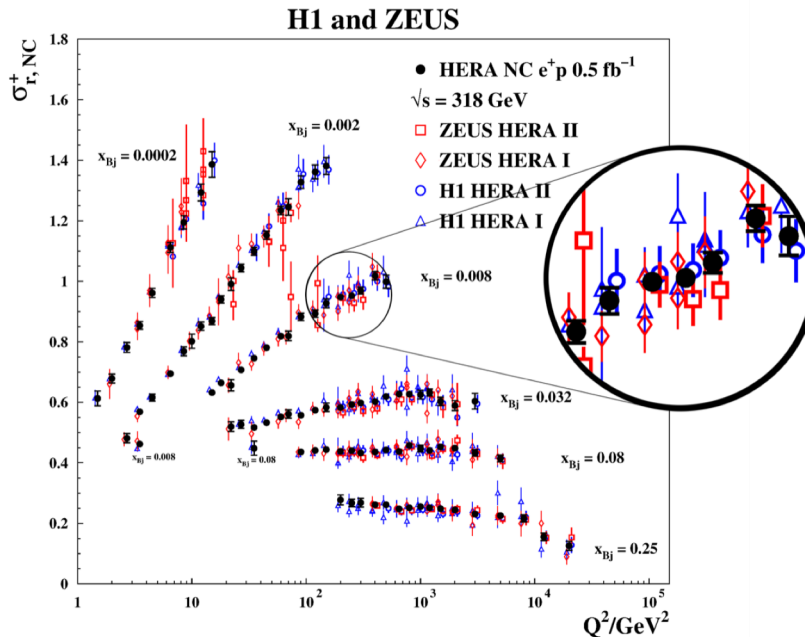
- **Access to higher  $Q^2$** 
  - Access to valence quark distribution at higher  $x$  in NC,  $xF_3$
  - Electron vs positron running (endless discussions)
  - More precise measurements of charged current
  - Polarized beams
  - Combination H1-ZEUS data (it started for  $xF_3$ )
  - LHC was getting closer -> PDFs for LHC, more exchange, HERAPDFs
- **More exotic searches**
  - Isolated leptons plus missing energy and large hadronic  $p_T$
  - Multilepton events
  - Leptoquarks
  - FCNC
  - Contact interactions

Triggered by observation in H1, no specific- related search

# NC/CC at high $Q^2$

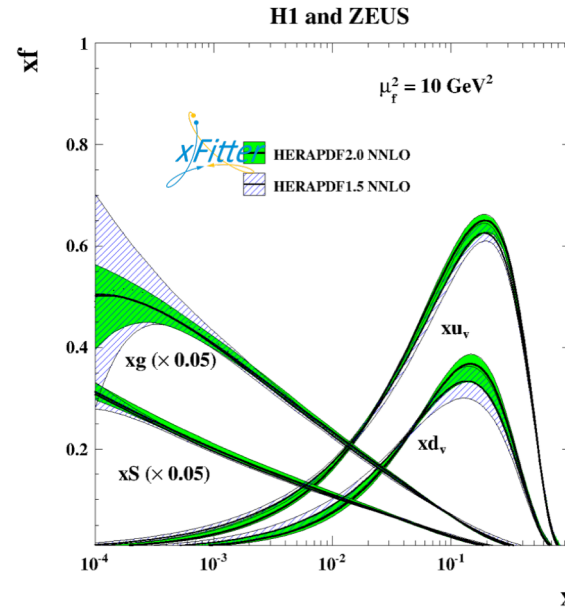


# Few words about ZEUS and H1 combinations



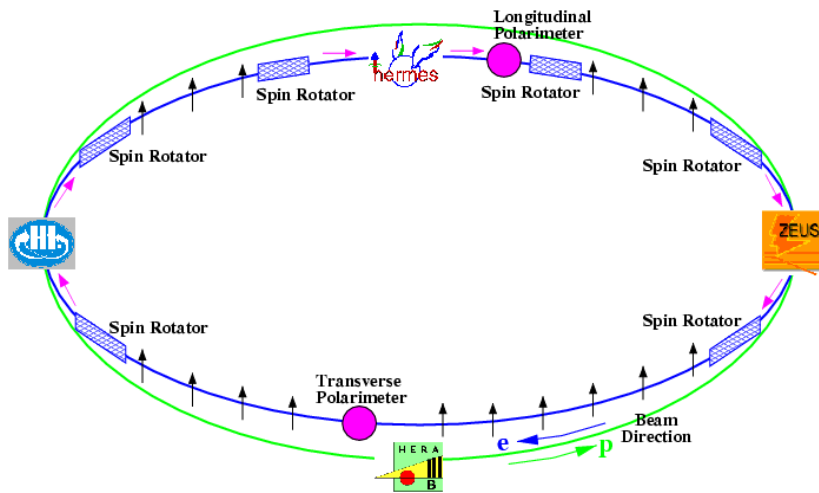
EPJ C75 (2015) 580

ZEUS and H1 combination  
is the main dataset for  
PDFs fitters

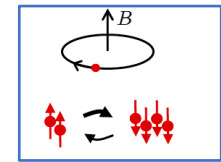


- Unless specified the plots I am going to show are based on the latest combined data
- And compared to HERAPDF2.0: based on data taken 1994-2007, 2927 points combined to 1307, 21 HERA I data samples, 20 HERA II data samples NC+CC
- xFitter, open-source tool originally started to fit PDFs at HERA
- Now used also for LHC experiments (EIC?)
- NC:  $0.045 < Q^2 < 50000 \text{ GeV}^2$   
 $6 \times 10^{-7} < x < 0.65$
- CC:  $200 < Q^2 < 50000 \text{ GeV}^2$   
 $1.3 \times 10^{-2} < x < 0.40$

# Polarization at HERA II

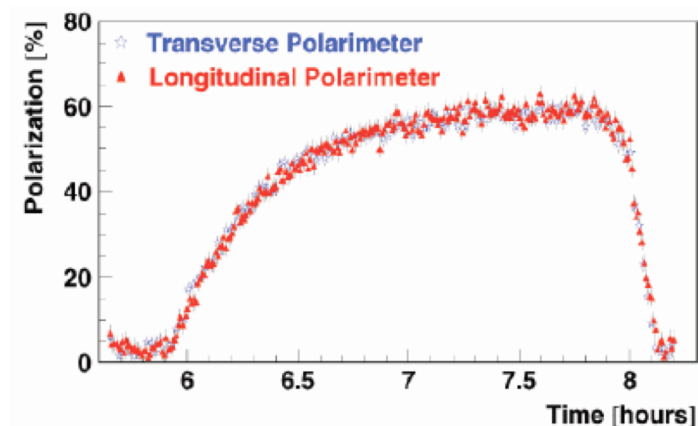


- Electrons/positrons get naturally transverse polarized (Solokov-Ternov effect)
- Spin rotators to change in long. polarization in the straight section before the experiments
- Polarization time  $\sim \frac{1}{2}$  h
- Polarization defined as:

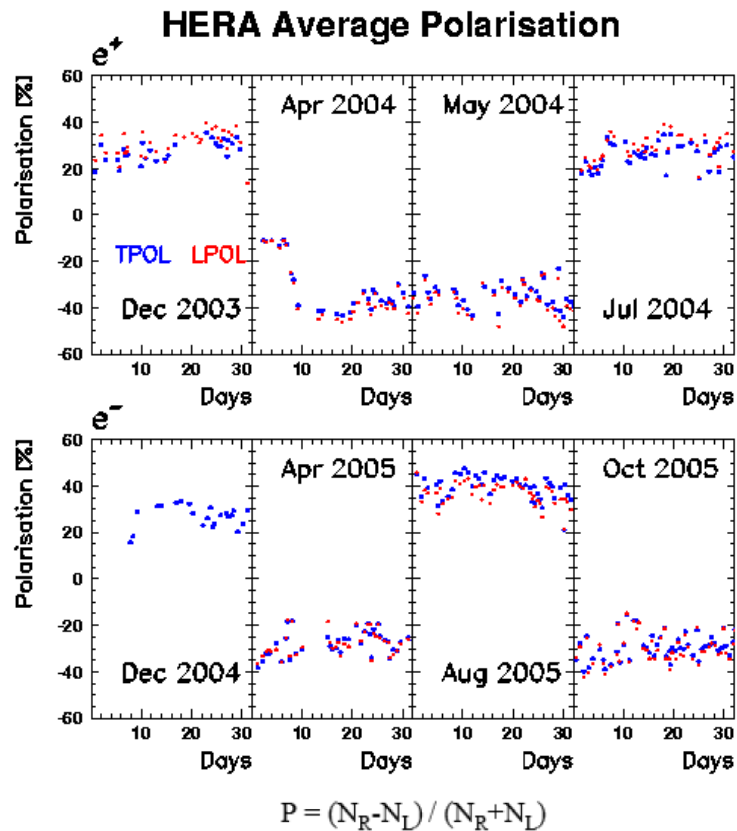


$$P_e = \frac{N_R - N_L}{N_R + N_L}$$

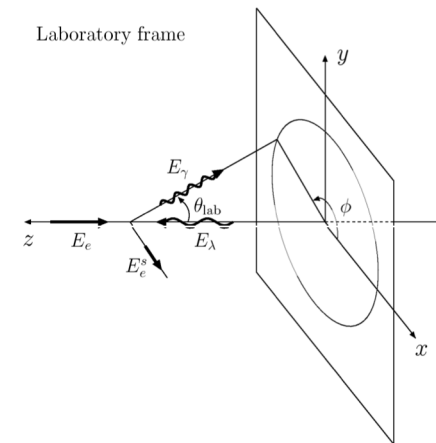
- Polarization 30-40%
- Spin flip every 2-3 months
- Measured by three devices Tpol, Lpol and cavity
- Original aim was a precision of 1%, i.e. not contributing significantly to syst. errors



# Polarization at HERA II



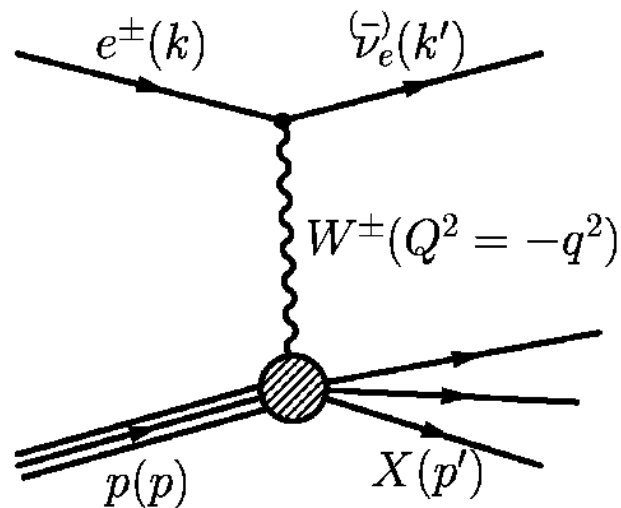
- Compton scattering cross section depends on polarization
- Circularly polarized laser beam off the electron/positron beam
- Asymmetry measured from scattered gamma in special calorimeters



$$\frac{d^2\sigma}{dE d\phi} = \Sigma_0(E) + S_1 \Sigma_1(E) \cos 2\phi + S_3 P_Y \Sigma_{2Y}(E) \sin \phi + S_3 P_Z \Sigma_{2Z}(E)$$

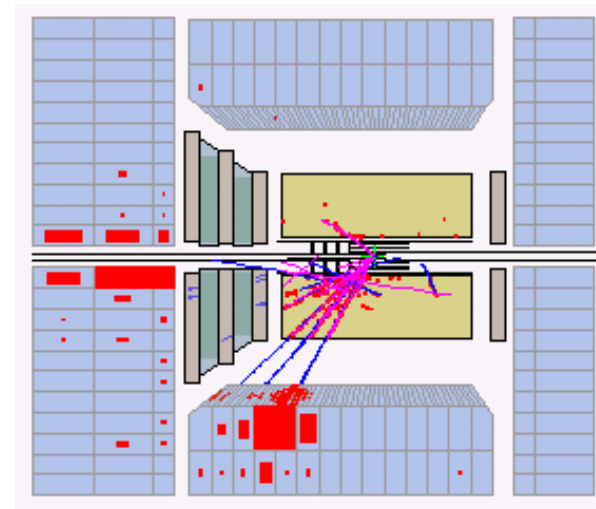
- **TPOL**: measured transverse polarization in straight West section, Sci-Tungsten e.m. calorimeter, +/- 1.9 % precision
- **LPOL**: measured longitudinal polarization in-between the HERMES spin rotators, compact Cherenkov crystal calorimeter, +/- 3.6% precision
- Note luminosity uncertainty: 1.8% ZEUS, 2.0% H1

# Charged Current events at HERA



- Kinematics of reconstructed from hadronic system
- Hadronic calorimeter resolution crucial

electron



proton



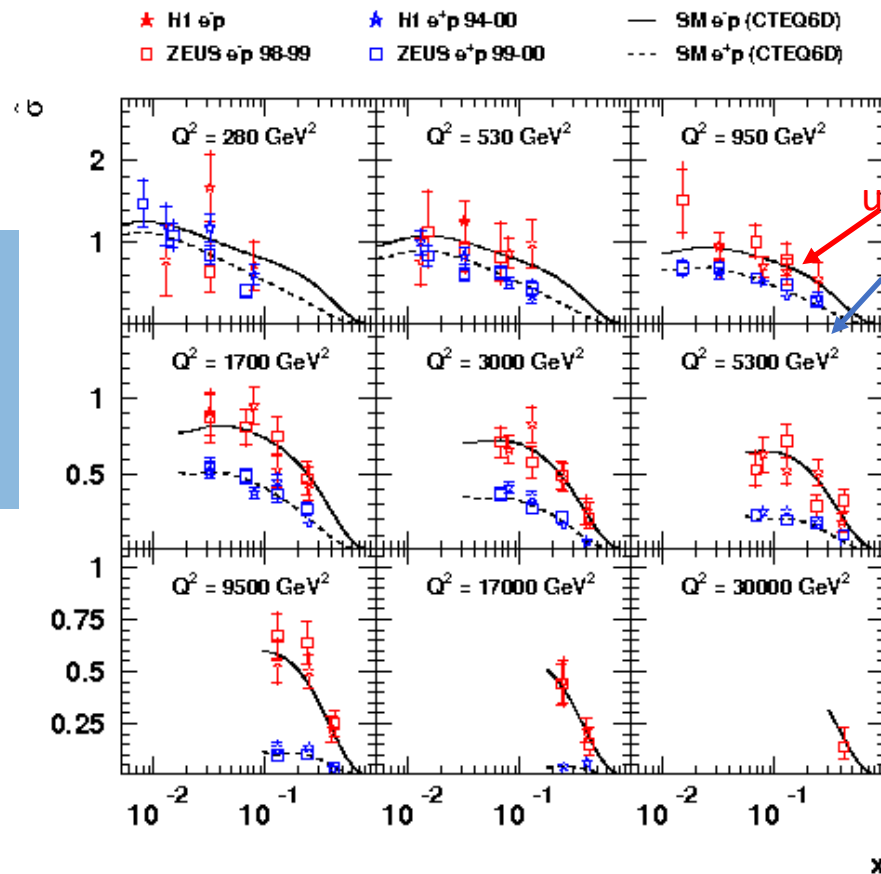
$$\frac{d\sigma_{unpolCC}^{e^+p}}{dQ^2 dx} = \frac{G_F}{2\pi} \cdot \left( \frac{M_W^2}{M_W^2 + Q^2} \right)^2 \left[ \bar{u}_i(Q^2, x) + (1-y)^2 d_i(Q^2, x) \right]$$

$$\frac{d\sigma_{unpolCC}^{e^-p}}{dQ^2 dx} = \frac{G_F}{2\pi} \cdot \left( \frac{M_W^2}{M_W^2 + Q^2} \right)^2 \left[ u_i(Q^2, x) + (1-y)^2 \bar{d}_i(Q^2, x) \right]$$

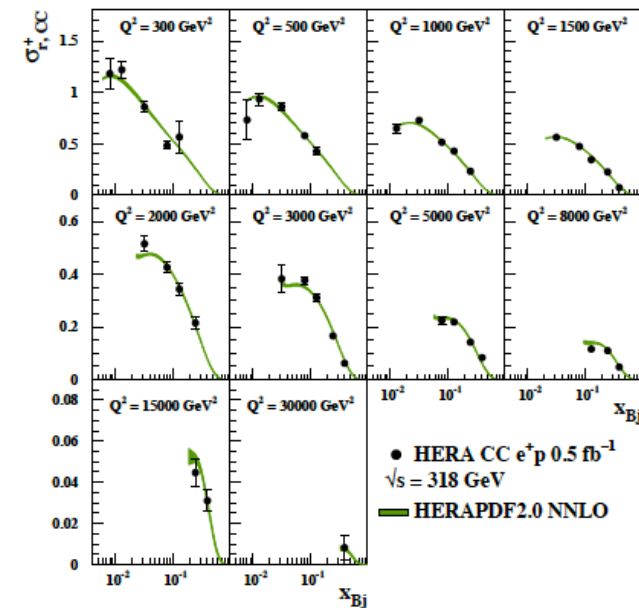
- Both electron and positron running crucial
- $e^+ p$  suppressed by  $(1-y)$
- Give information on  $u, d$  valence density separately

# CC cross section

HERA Charged Current

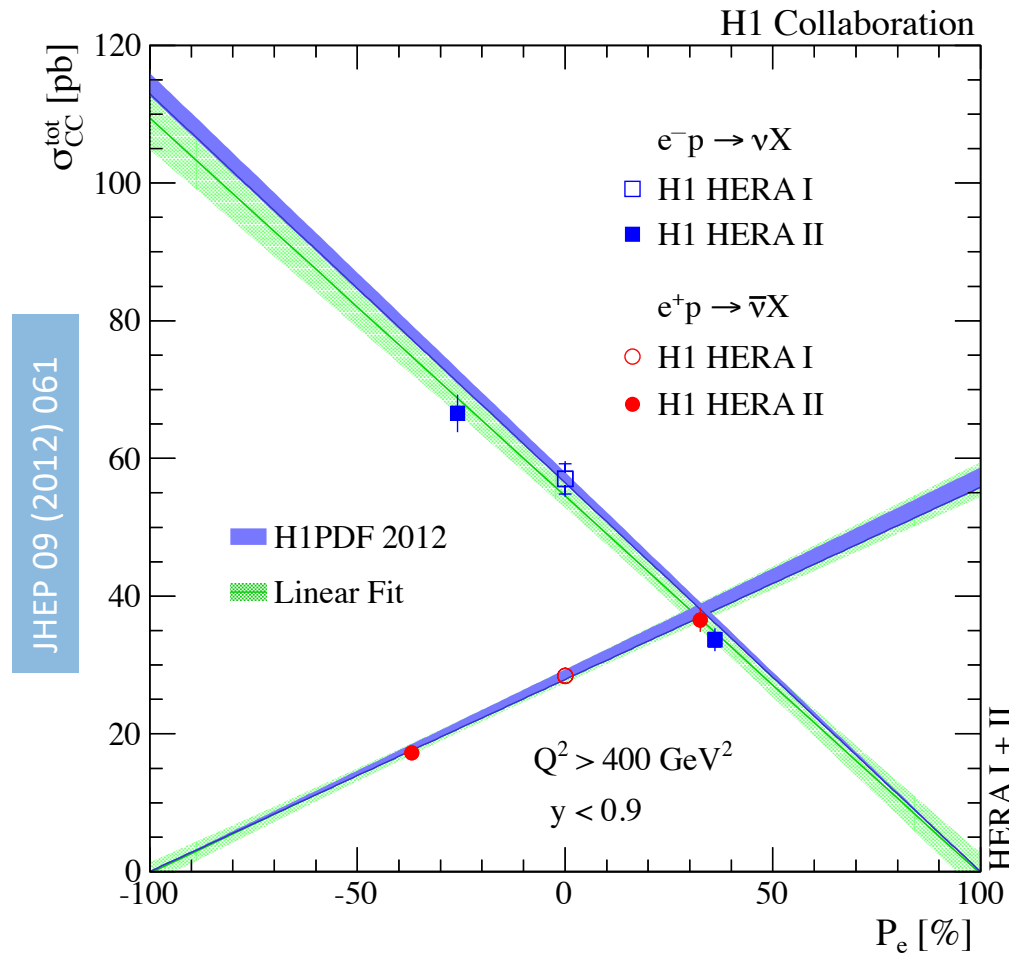


H1 and ZEUS



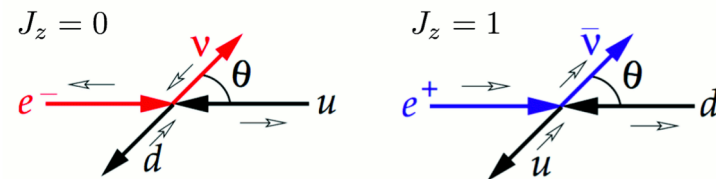
- Data important input to PDFs, i.e. u-valence and d-valence separation
- Complemented by W-charge asymmetry at the LHC these days, which is however at lower  $x$

# CC polarized cross section

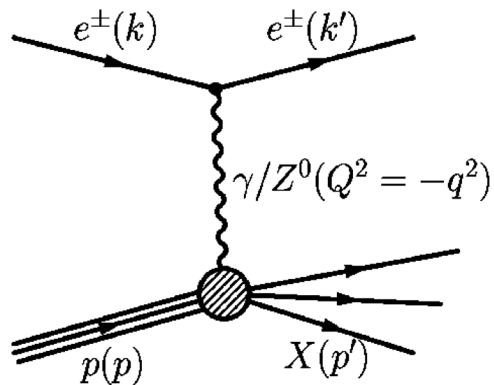


$$\frac{d^2\sigma_{CC}^{\pm}(P_e)}{dx dQ^2} = (1 \pm P_e) \frac{d^2\sigma_{CC}^{\pm}}{dx dQ^2}$$

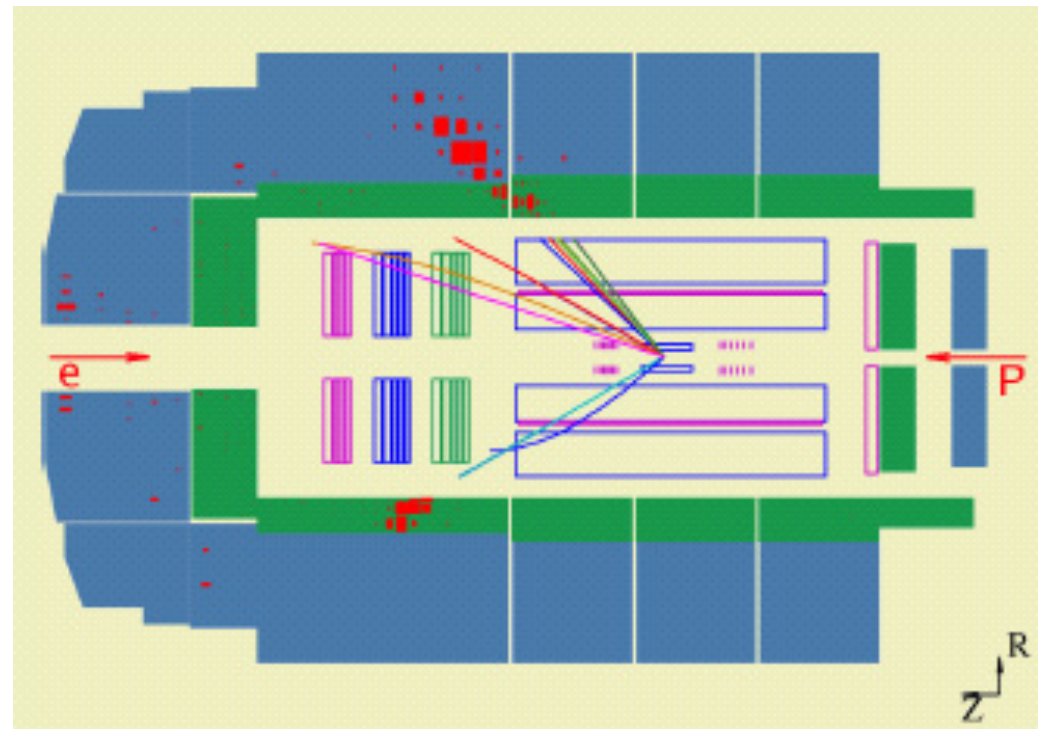
- Textbook plot: the charged current cross section goes to zero for right-handed electrons, as predicted by the SM



# Neutral Current at high $Q^2$

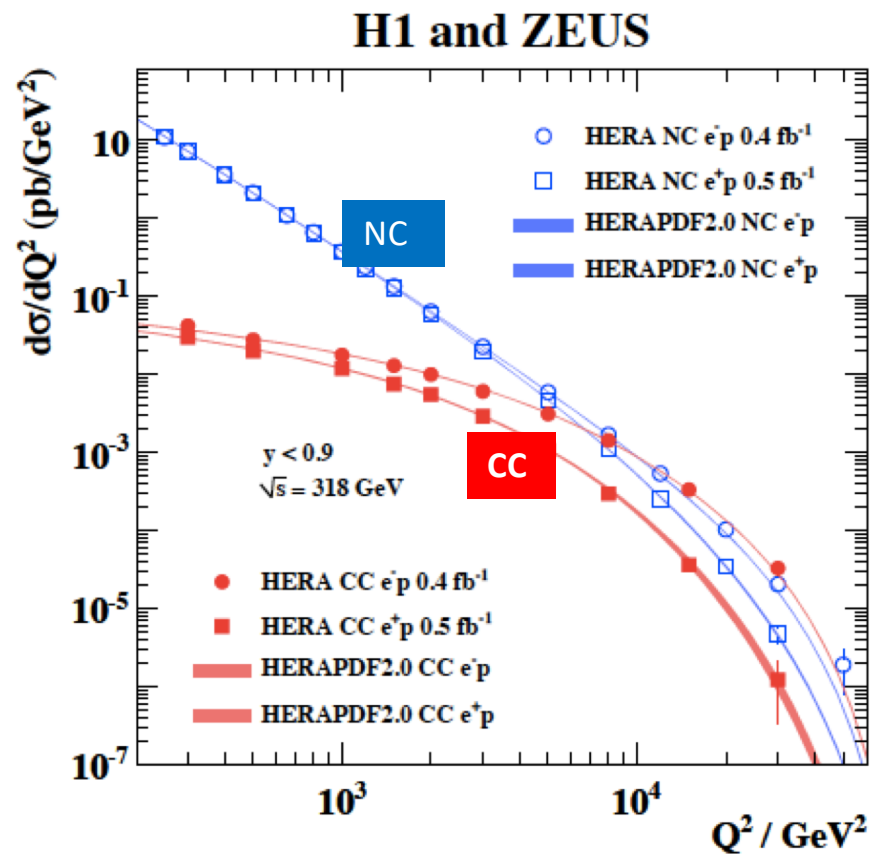


- Need electron identification at high angle, in the forward region, so optimized algorithm
- At high  $Q^2$  the cross section (here expressed as reduced cross section) cannot neglect the  $x\tilde{F}_3$  term

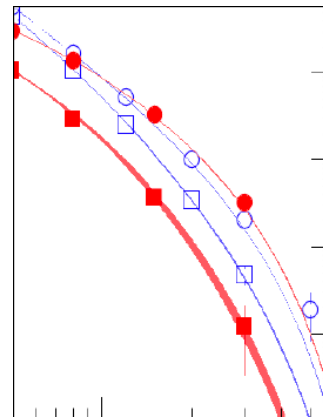


$$\tilde{\sigma}^{\pm} = \frac{d^2\sigma^{\pm}}{dx dQ^2} \frac{Q^4 x}{2\pi\alpha^2 Y_+} = \tilde{F}_2^{\pm} \mp \frac{Y_-}{Y_+} x \tilde{F}_3^{\pm} - \frac{y^2}{Y_+} \tilde{F}_L^{\pm}$$

# NC+CC cross sections

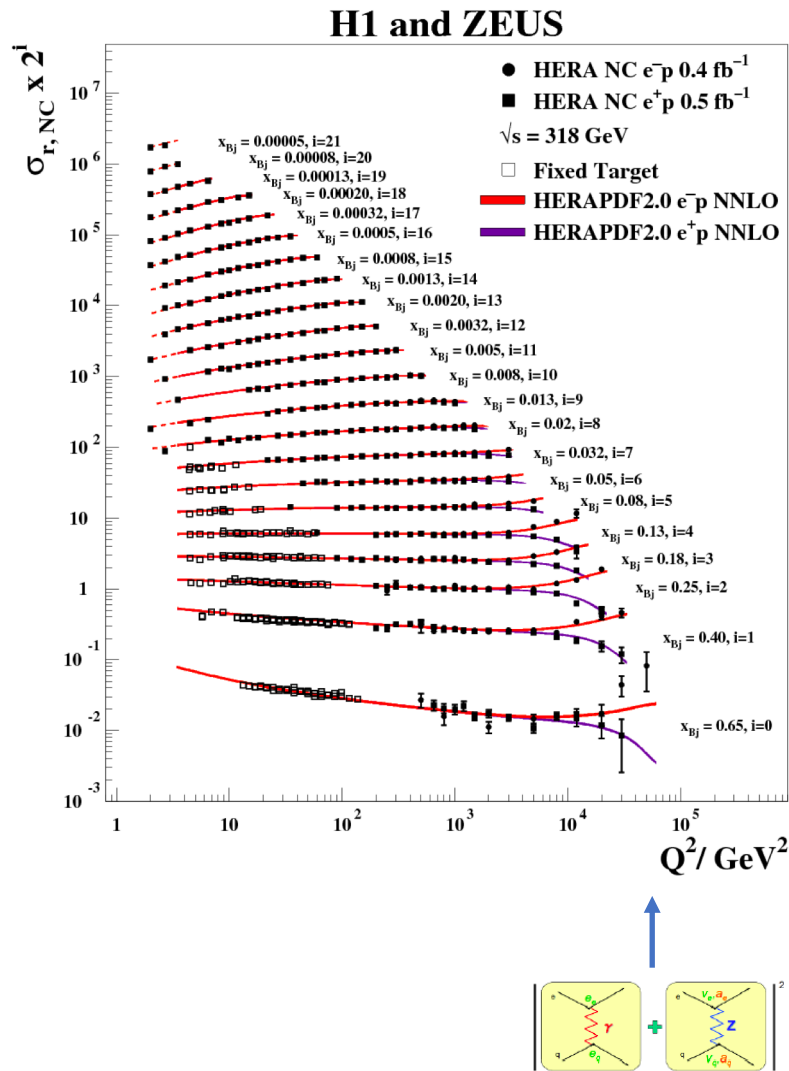


- Textbook plot: at high  $Q^2 \sim M_Z^2, M_W^2$  become of the same strength
- Here shown with the QCD prediction with the HERAPDF2.0 fit
- In NC gamma-Z interference and Z-exchange visible at very high  $Q^2$





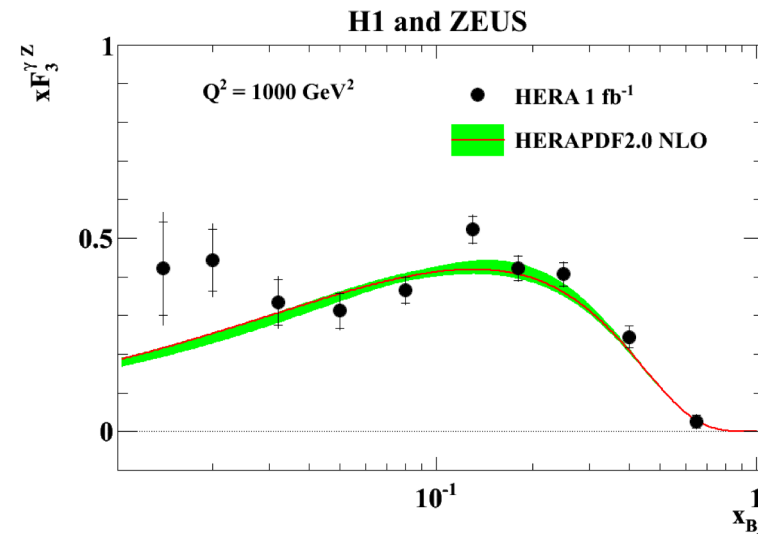
# NC cross section



- Effect of Z-gamma interference clearly visible at high  $Q^2$
- Measuring  $e^+$  and  $e^-$  one can extract  $xF_3$ , directly sensitive to the valence quark distribution

$$xF_3^{\gamma Z} = x/3(2u_v + d_v + \Delta)$$

- Integral =  $1.790 \pm 0.078 \text{ (stat)}^{+0.078}_{-0.100}$   
 $\sim 5/3$  as predicted

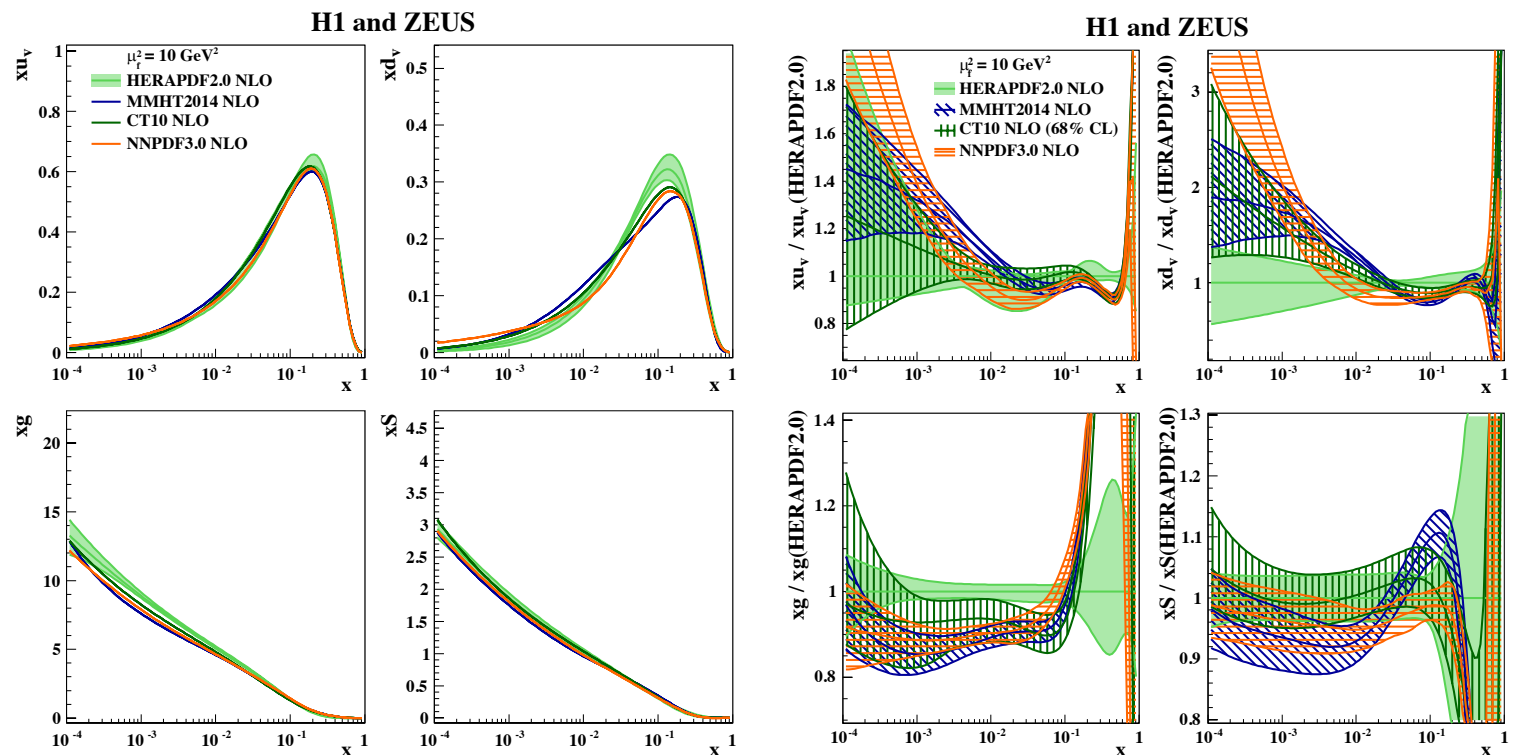


# QCD fits – HERAPDF2.0

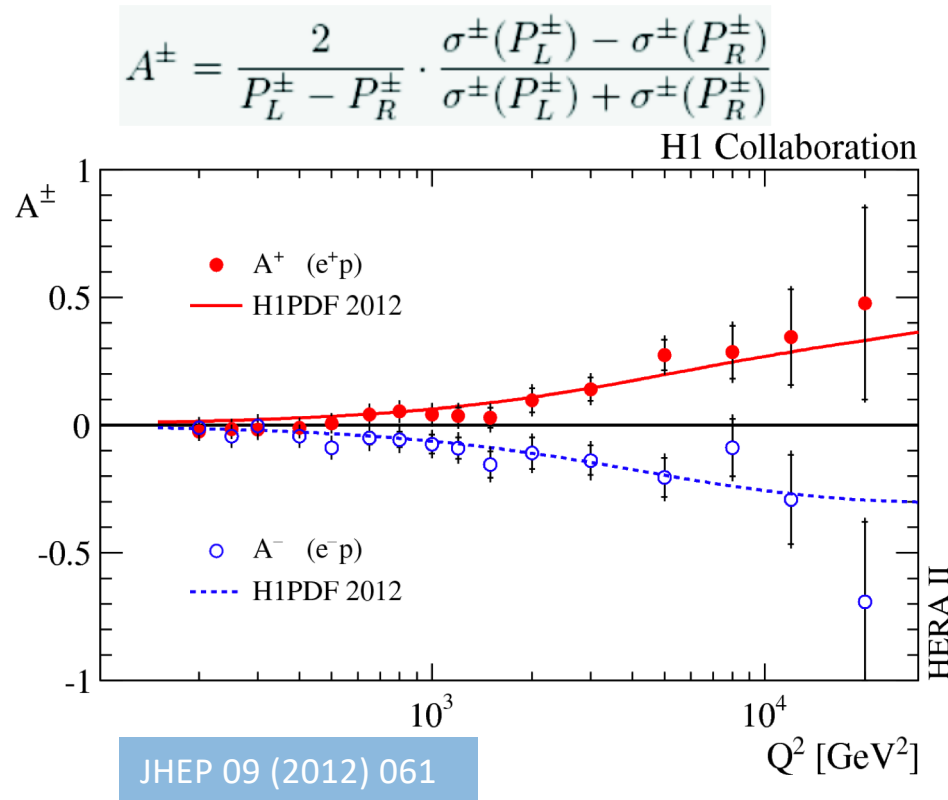
$$\begin{aligned} xg(x) &= A_g x^{B_g} (1-x)^{C_g} - A'_g x^{B'_g} (1-x)^{C'_g}, \\ xu_v(x) &= A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} (1 + E_{u_v} x^2), \\ xd_v(x) &= A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}}, \\ x\bar{U}(x) &= A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}} (1 + D_{\bar{U}} x), \\ x\bar{D}(x) &= A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}}. \end{aligned}$$

PDFs extracted from these data

- 14 parameters fit
- Starting scale  $Q^2 = 1.9 \text{ GeV}^2$
- HQs from RT VFNS
- 5 orders of magnitude in  $x, Q^2$  fit
- $\chi^2/\text{NDF} = 1357/1131$



# Polarized neutral current cross sections



- Effect of polarization visible at very high  $Q^2$
- Direct observation of parity-violation in NC

$$F_2^{L,R} = \sum_q [xq(x, Q^2) + x\bar{q}(x, Q^2)] \cdot A_q^{L,R},$$

$$xF_3^{L,R} = \sum_q [xq(x, Q^2) - x\bar{q}(x, Q^2)] \cdot B_q^{L,R}.$$

$$A_q^{L,R} = Q_q^2 + 2Q_e Q_q (v_e \pm a_e) v_q \chi_Z + (v_e \pm a_e)^2 (v_q^2 + a_q^2) (\chi_Z)^2,$$

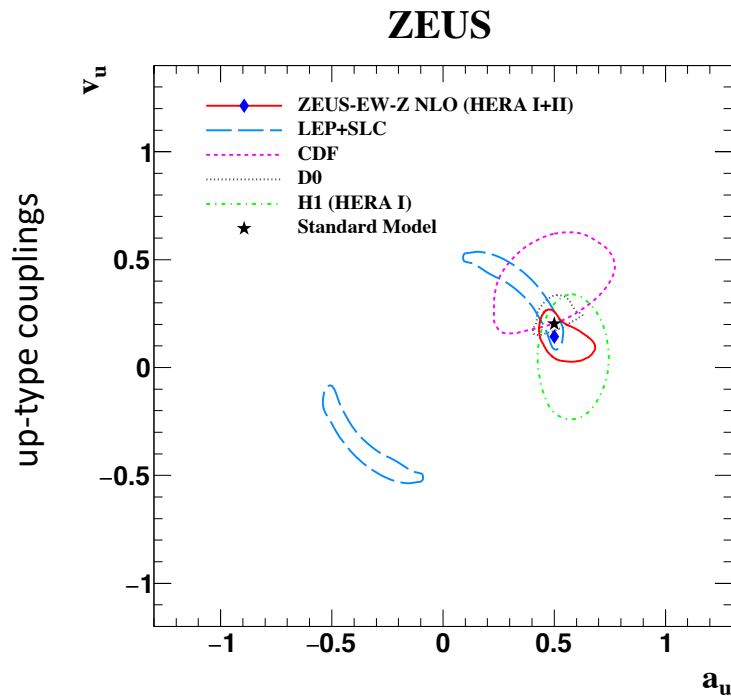
$$B_q^{L,R} = \pm 2Q_e Q_q (v_e \pm a_e) a_q \chi_Z \pm 2(v_e \pm a_e)^2 v_q a_q (\chi_Z)^2,$$

- Exploiting the polarization the u,d electroweak couplings to the Z can be determined, in quite a competitive way
  - Polarized  $F_2$  constrains the vector couplings
  - Unpolarized  $xF_3$  constrains the axial couplings
- Special QCD fits with EW parameters free

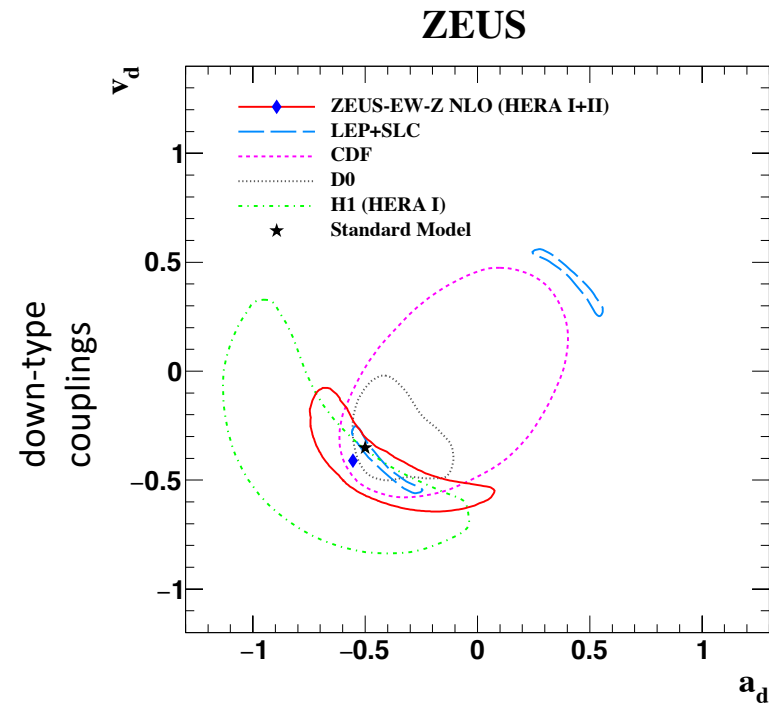
# Combined QCD+EW fit ZEUS-EW-Z

$$v_u = 1/2 - 4/3 \sin^2 \theta_W, a_u = 1/2$$

$$v_d = -1/2 + 2/3 \sin^2 \theta_W, a_d = -1/2$$



13+4 PDF fit ZEUS-EW-Z  
to constrain the Z to u,d  
couplings



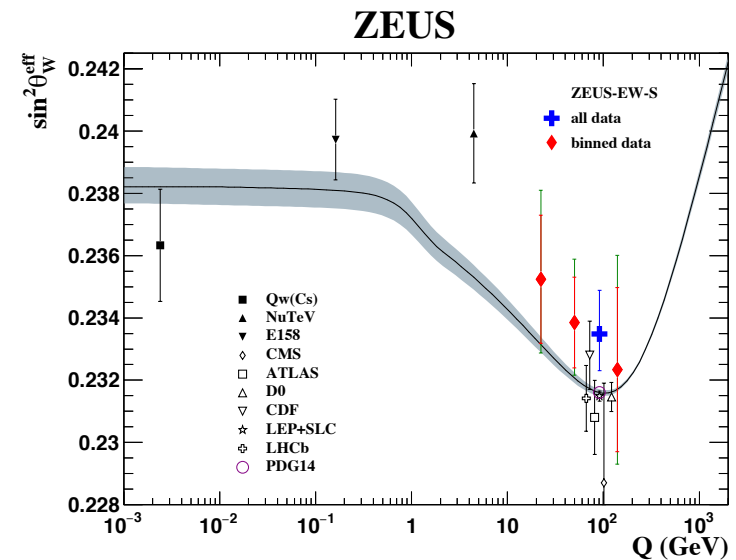
Very competitive  
measurement - at least  
compared to Tevatron - and  
can constrain the sign

# Combined QCD+EW ZEUS-EW-S

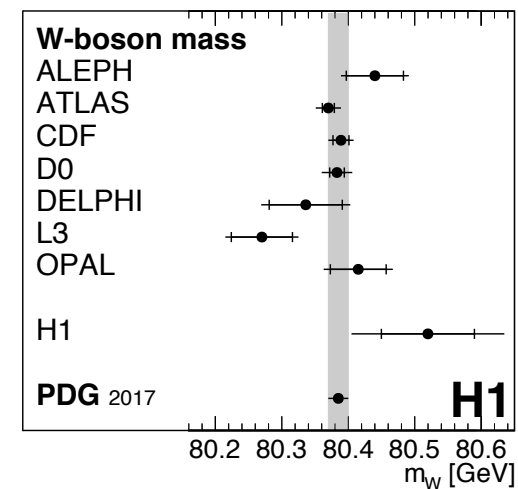
- Dependence on  $\sin^2 \theta_W$  :
  - in the Z propagator
  - In the  $G_F$  coupling in CC
  - through the vector coupling of Z to the quarks
  - 13+1 parameter fit

$$\chi_Z = \frac{1}{\sin^2 2\theta_W} \frac{Q^2}{M_Z^2 + Q^2} \frac{1}{1 - \Delta R} \quad G_F = \frac{\pi \alpha_0}{\sqrt{2} \sin^2 \theta_W M_W^2} \frac{1}{1 - \Delta R}$$

- Precision not very high but other text-book plot
- Similar H1 fit with extraction of W mass in the t-channel (unique measurement)



Phys. Rev. D 93 92016)  
092202

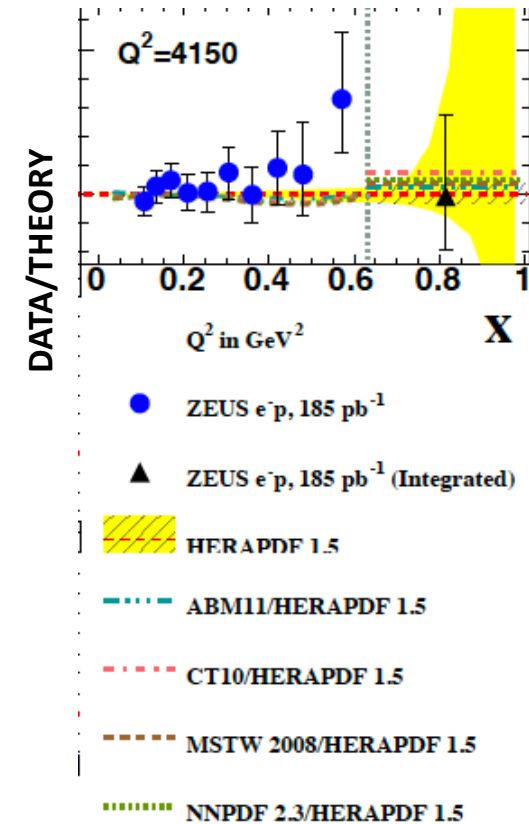
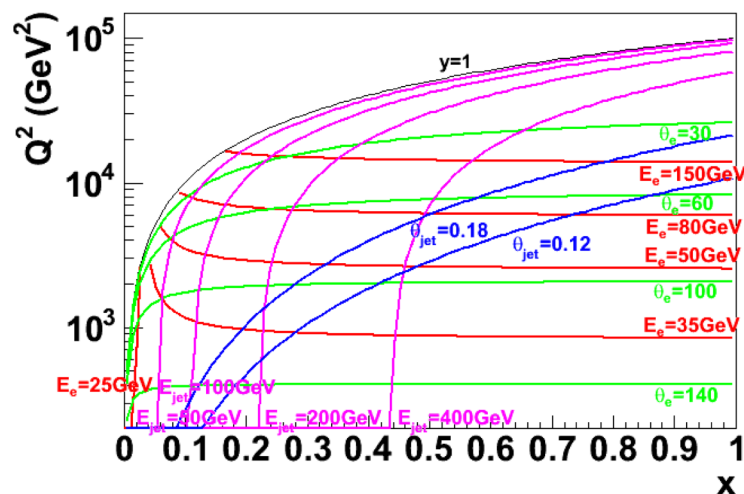


Eur.Phys.J.C78 (2018),  
777

# Very very high-x

- Let's suppose to have a very high  $Q^2$  electron (reconstructed in the detector) and very high  $x$ , so that the jet disappears in the fwd region
- Reconstruct  $Q^2$  from the electron and integrate cross section in  $x$ - from edge up to  $x=1$

A. Caldwell  
<https://indico.desy.de/indico/event/10523>



Uncertainties in PDFs at high- $x$  still very high, these data at  $x > 0.6$

# Lessons learned

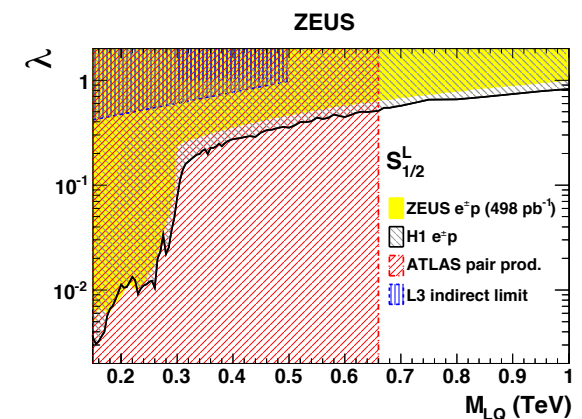
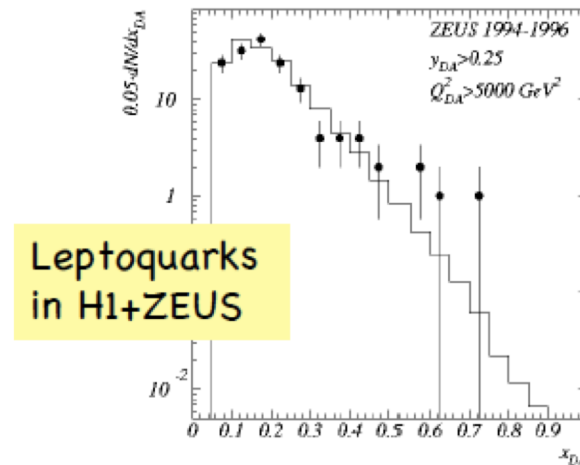
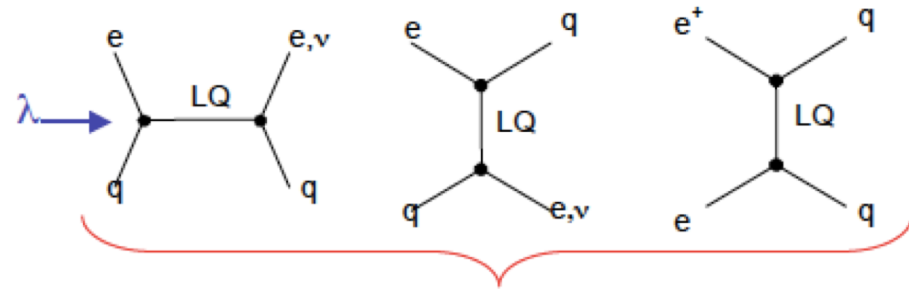
- Precision of scattered electron, hadronic jets is crucial in the whole kinematic range
- Combination H1-ZEUS was fundamental for precision PDFs and electroweak measurements in particular (first one in 2006 for  $xF_3$  !)
- We did not invest enough probably in the polarization measurement – at least at the beginning - its uncertainty as important as the luminosity uncertainty
- Very high  $x$  still very unknown region (crucial for searches at the LHC)
- Interaction with theory worked well at HERA, also crucial
- New ideas many years after HERA end (EW fits from 2016)
- Strong attention to PDFs from LHC community

# Exotics



# Leptoquarks

- Classical search at HERA, a resonance in  $x$  expected
- Early possible signal  $\sim 200$ - $220$  GeV observed with 1996 data by both H1 and ZEUS (at high  $y$ ), not confirmed later with more statistics
- BRW model used to classify leptoquarks (still used now)
- Competitive limits set at that time, now LHC taking over
- Very modern subject these days for LQs coupling to 3rd generation, due to the B anomalies



Phys. Rev. D 86 (2012) 012005

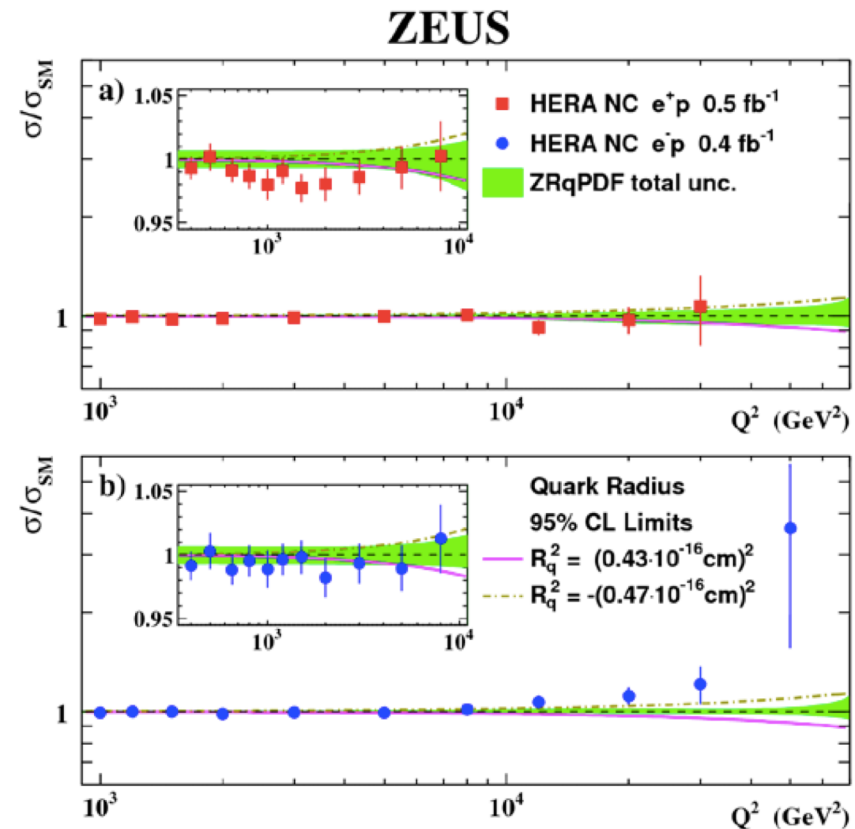
# Quark radius and contact interactions

- HERA is the natural place to look for quark substructure.
- It would manifest a deviation from the predicted  $Q^2$  dependence at high  $Q^2$  with an additional quark form factor

$$\frac{d\sigma}{dQ^2} = \frac{d\sigma^{\text{SM}}}{dQ^2} \left(1 - \frac{R_e^2}{6} Q^2\right)^2 \left(1 - \frac{R_q^2}{6} Q^2\right)^2$$

- QCD fits repeated introducing additional quark radius  $R_q$  parameter

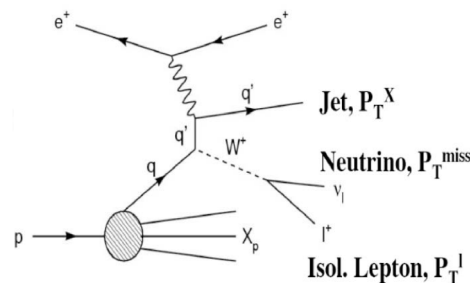
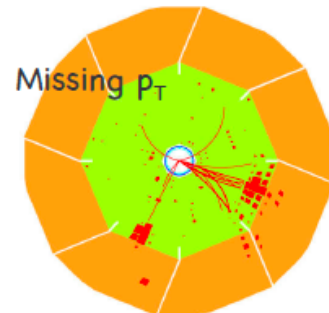
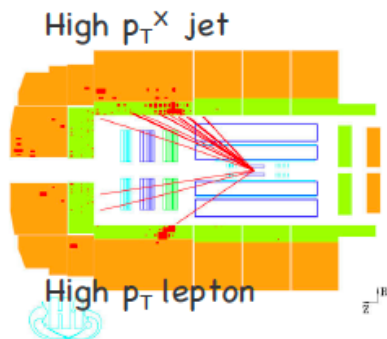
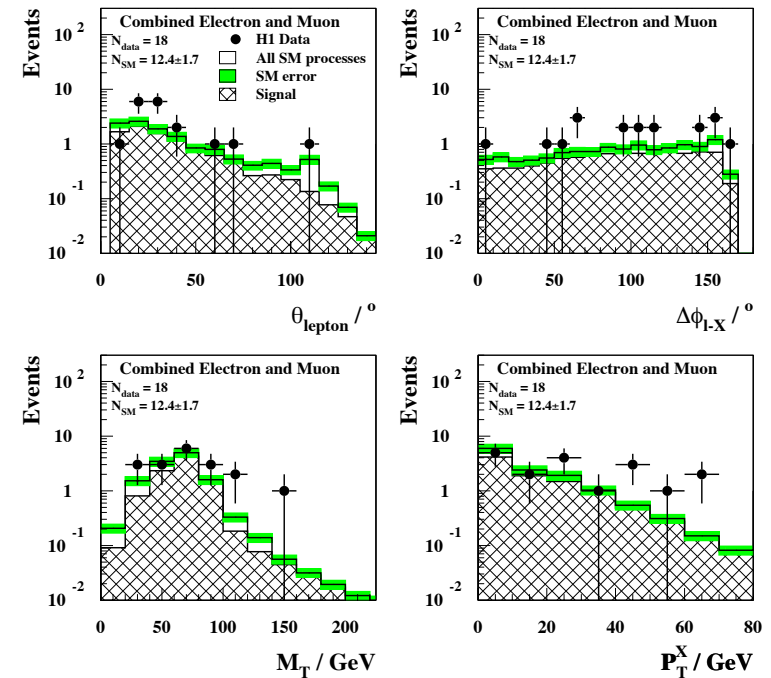
$$R_q^2 < (0.43 \cdot 10^{-16} \text{ cm})^2$$



# Isolated leptons and $p_T^{\text{miss}}$ in H1

- Events with isolated electrons/muons and large transverse energy
- Main process in W radiation from a quark
- Excess found by H1 at high  $P_T^X$  (large  $p_T$  of the hadronic system), especially in  $e^+p$  collisions
- At  $P_T^X > 25$  GeV 10 obs./2.92 $\pm$ 0.49 exp. with the sample at that time (HERA I)
- Not confirmed by ZEUS, but of course we took it seriously and compared acceptance in a common kinematic range

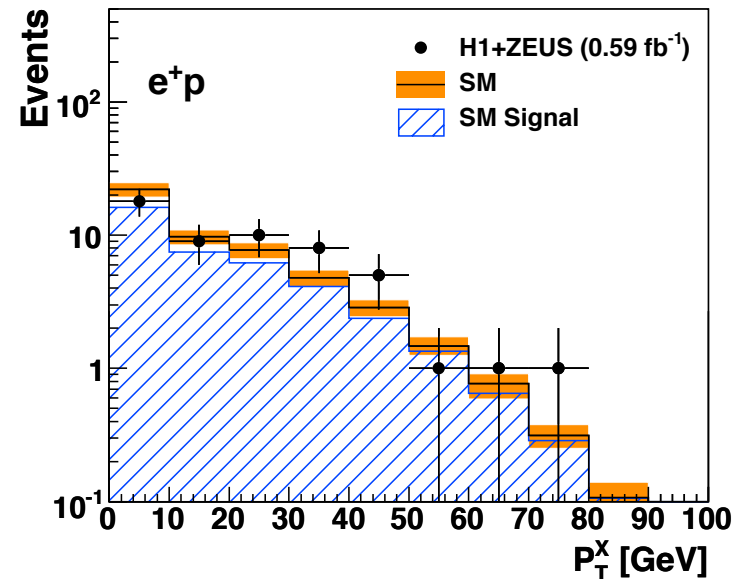
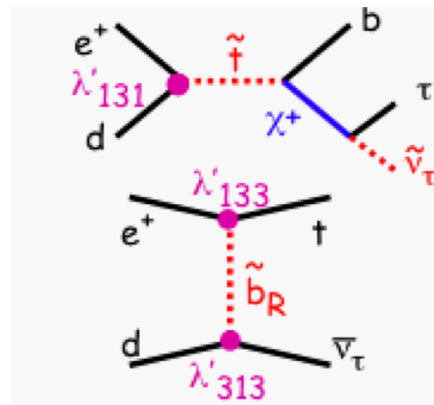
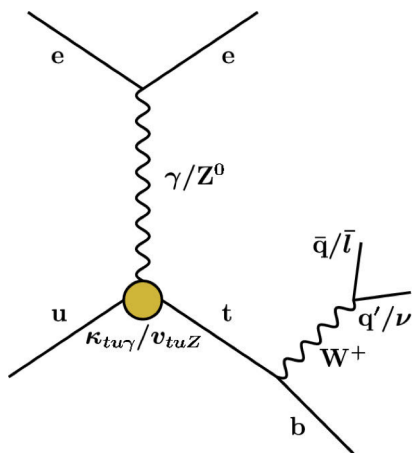
PLB 561 (2003) 241



105  $\text{pb}^{-1}$ ,  $e^+p$

# Isolated leptons and $p_T^{\text{miss}}$

- Later combination of all H1+ZEUS at the end of HERA in common phase space
- Possible interpretation: anomalous single-top production with anomalous FCNC u-t coupling or R-parity violation squark production
- Limits set on these couplings



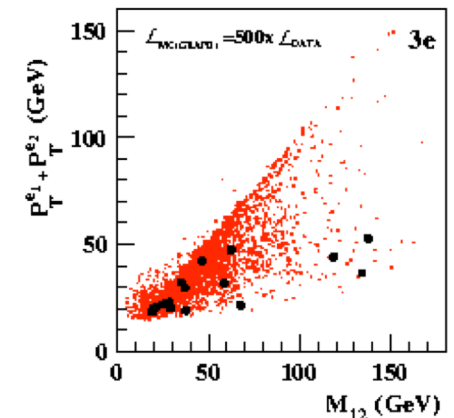
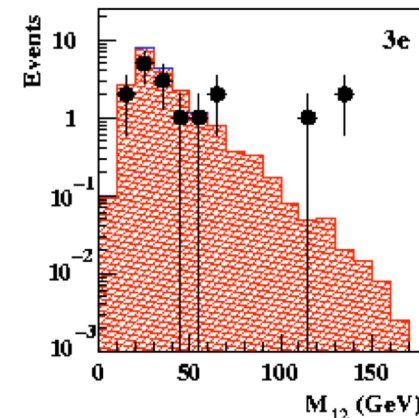
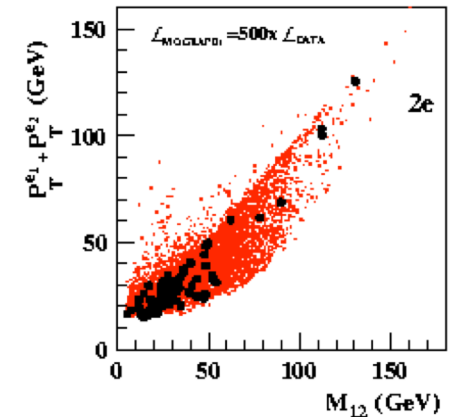
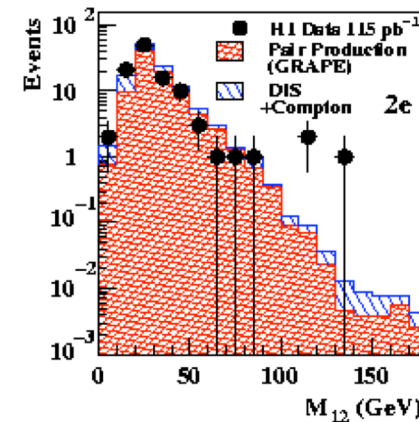
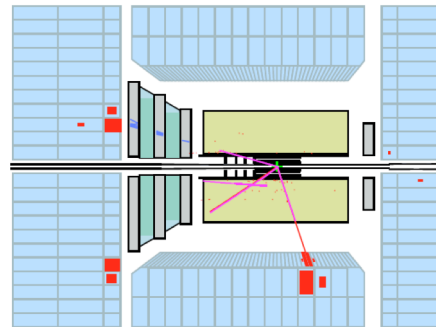
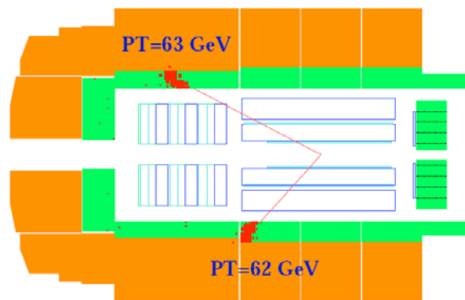
JHEP 03 (2010) 035

H1+ZEUS		Data	SM		SM	Other SM
1994–2007 $e^+p$	0.59 fb <sup>-1</sup>		Expectation		Signal	Processes
Combined	Total	53	49.8 ± 6.2	38.8 ± 5.9	11.1 ± 1.5	
	$P_T^X > 25 \text{ GeV}$	23	14.0 ± 1.9	11.8 ± 1.9	2.2 ± 0.4	

2.9 sigma H1 alone  
1.8 sigma combined

# Multilepton 2e, 3e events events in H1

- Observation by H1 in events with 2e or 3e events
- A clear excess observed at high invariant mass of the two highest-transverse-energy electrons
- Mostly in positron
- Not confirmed by ZEUS



Possible BSM interpretation:  
doubly charged Higgs

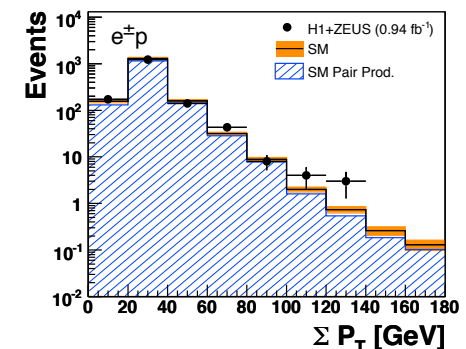
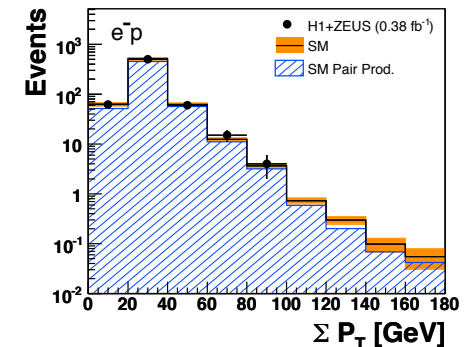
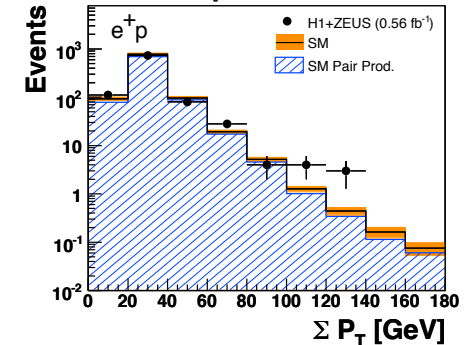
# Multilepton events in H1+ZEUS

- Combination of H1 and ZEUS data with complete dataset with both electrons and muons in common phase space
- 7 events observed in positron-p collisions at high sum of the  $p_T$ , compared to 1.94 expected
- None observed in electron-p collisions
- But in general good agreement
- At least in ZEUS it was crucial to have a precise MC for the background (GRAPE) and an expert on it

Multi-Leptons at HERA ( $0.94 \text{ fb}^{-1}$ )

Data sample	$\sum P_T > 100 \text{ GeV}$			
	Data	SM	Pair Production (GRAPE)	NC DIS + QEDC
$e^+p$ ( $0.56 \text{ fb}^{-1}$ )	7	$1.94 \pm 0.17$	$1.52 \pm 0.14$	$0.42 \pm 0.07$
$e^-p$ ( $0.38 \text{ fb}^{-1}$ )	0	$1.19 \pm 0.12$	$0.90 \pm 0.10$	$0.29 \pm 0.05$
All ( $0.94 \text{ fb}^{-1}$ )	7	$3.13 \pm 0.26$	$2.42 \pm 0.21$	$0.71 \pm 0.10$

Multi-Leptons at HERA



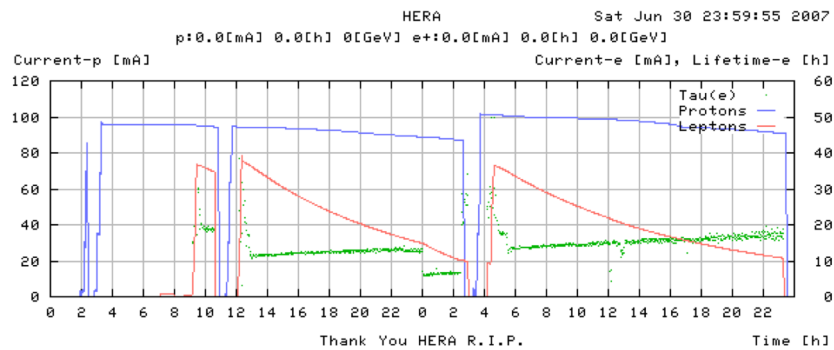
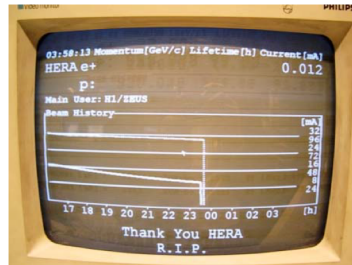
# Lessons learned

- Be open to unconventional signatures, not related to a particular BSM model
- Leptons identification crucial (also for tau leptons!)
- MC models for background very important
- Exchange between H1 and ZEUS and combined paper crucial
- Take any deviation seriously, new physics could be hidden in SM precise measurements



# Summary

Last fill 30/6/2007 at  
23:30



- Picture taken at the party after the last fill
- You have all a new project to start in DIS

